

COPY OF PAPERS  
ORIGINALLY FILED

Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,399	11/20/2001	Robert W. Sparrow	SP01-339

22928  
CORNING INCORPORATED  
SP-TI-3-1  
CORNING, NY 14831

CONFIRMATION NO. 3281

FORMALITIES LETTER



\*OC000000007179896\*

Date Mailed: 12/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/22/2002 JADD01 00000013 033325 09991399

02 FC:105 130.00 CH



COPY OF PAPERS  
ORIGINALLY FILED

PATENT  
SP01-339

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Sparrow, Robert W.  
**Serial No.:** 09/991,399  
**Filing Date:** November 20, 2001  
**Title:** DISPERSION MANAGEMENT  
OPTICAL LITHOGRAPHY  
CRYSTALS FOR BELOW 160 NM  
OPTICAL LITHOGRAPHY AND  
METHOD THEREOF

**SUBMISSION OF MISSING PARTS**

Group Art Unit: TBA  
Examiner: TBA

**SUBMISSION OF MISSING PARTS & SURCHARGE  
UNDER 37 CFR 1.53(d) AND CFR 1.16(e)**

Assistant Commissioner for Patents  
Washington, DC 20231  
Attn.: Processing Division

Filed herewith are:

- ☒ Copy of Notice to File Missing Parts of Non-Provisional Application
- ☒ Declaration
- ☒ Power of Attorney
- ☐ PTO Form 1449
- ☐ A certified copy of Application No. , filed the priority of which is claimed.
- ☐ Other:

Corning Incorporated hereby authorizes the Assistant Commissioner to charge payment of any fees associated with this application or credit any overpayment to Corning Incorporated Deposit Account 03-3325. A duplicate copy of this sheet is enclosed.

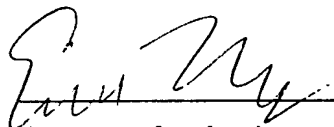
Respectfully submitted,

DATE: March 7, 2002

Date of Deposit: March 9, 2002

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated above with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, DC 20231

Signature

  
Attorney for Assignee  
Edward F. Murphy  
Reg. No. 38,251  
Corning Incorporated  
SP-TI-03  
Corning, NY 14831  
(607) 974-3716